

COMMISSIONER FOR PATENTS
Washington, D.C. 20231

SERIAL NO. 09/918,563

IBM DOCKET NO. AUS920010562US1

DATE: November 13, 2004

DATE: November 13, 2004

Number of Pages to Follow (including this cover sheet) 5

SEND TO: United States Patent Office

Examiner: not yet assigned

Group Art Unit: 2681

Tel No:

Fax No: 703-872-9306

FROM: Leslie A. Van Leeuwen
Van Leeuwen & Van Leeuwen
Registered Patent Attorneys
6123 Pebble Garden Court
Austin, Texas 78739
Tel No: 512-301-6738
Fax No: 512-301-6742

RECEIVED
CENTRAL FAX CENTER
NOV 12 2004

THIS MESSAGE IS INTENDED ONLY FOR THE USE OF THE INDIVIDUAL OR ENTITY TO WHICH IT IS ADDRESSED, AND MAY CONTAIN INFORMATION THAT IS PRIVILEGED, CONFIDENTIAL AND EXEMPT FROM DISCLOSURE UNDER APPLICABLE LAW. IF THE READER OF THIS MESSAGE IS NOT THE INTENDED RECIPIENT, OR THE EMPLOYEE OR AGENT RESPONSIBLE FOR DELIVERING THE MESSAGE TO THE INTENDED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT ANY DISSEMINATION, DISTRIBUTION OR COPYING OF THIS COMMUNICATION IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS COMMUNICATION IN ERROR, PLEASE NOTIFY US IMMEDIATELY BY TELEPHONE AND RETURN THE ORIGINAL MESSAGE TO US AT THE ADDRESS ABOVE VIA THE U.S. POSTAL SERVICE. THANK YOU.

Docket No. AUS920010562US1

Serial No. 09/918,563

Atty: LAV / JVL

Applicant: Barillaud

<input checked="" type="checkbox"/> Transmittal Letter (2 copies)	<input checked="" type="checkbox"/> Certificate of Facsimile
<input type="checkbox"/> Amendment (<input type="text"/> pages)	<input type="checkbox"/> Notice of Appeal
<input type="checkbox"/> Amendment AF	<input type="checkbox"/> Appeal Brief (3 copies)
<input type="checkbox"/> Ext. of Time	<input type="checkbox"/> Reply Brief
<input checked="" type="checkbox"/> IDS Statement	<input type="checkbox"/> Change of Address
<input checked="" type="checkbox"/> Form PTO-1449 (Modified)	
<input type="checkbox"/> Other <input type="text"/>	

Deposit Acct. No. 09-0447

Fees: Amendment Notice of Appeal Appeal Brief Other \$ 0.00

Atty Ref. No. IBM-1033

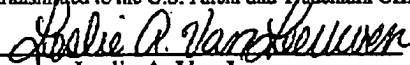
COMMISSIONER FOR PATENTS
Washington, D.C. 20231

SERIAL NO. 09/918,563

IBM DOCKET NO. AUS920010562US1

DATE: November 13, 2004

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

<u>Certificate of Mailing or Transmission</u>	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.	
 Leslie A. Van Leeuwen	<u>11/13/04</u> Date

Sir:

Transmitted herewith for filing is the Patent Application of:

Inventors: Barillaud

For: System and Method for Providing Efficient and Secure Data Exchange Using Strip Information Elements


Enclosed are:

- ☒ Supplemental Information Disclosure Statement;
- ☒ Modified form 1449 listing 5 references;

37 CFR § 1.97 is satisfied by the following:

- ☒ This Supplemental IDS is being filed pursuant to CFR § 1.197(b), therefore no fee as set forth in § 1.17(p) is believed to be due. If, however, more than three months have elapsed since the filing date and a first Office action on the merits has already been mailed, then please charge my Deposit Account No. 09-0447 the fees set forth in § 1.17(p) [\$180.00]. A duplicate copy of this sheet is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 09-0447. A duplicate copy of this sheet is enclosed.
- ☒ Any additional patent application processing fees under 37 CFR Sect. 1.17.

Respectfully submitted,

By 
Leslie A. Van Leeuwen
Attorney for Applicant
Registration No. 42,196
Telephone: (512) 301-6738
Facsimile: (512) 301-6742

Atty Ref. No. IBM-1033

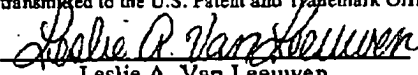
COMMISSIONER FOR PATENTS
Washington, D.C. 20231

SERIAL NO. 09/918,563

IBM DOCKET NO. AUS920010562US1

DATE: November 13, 2004

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

<u>Certificate of Mailing or Transmission</u>	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.	
 Leslie A. Van Leeuwen	<u>11/13/04</u> Date

Sir:

Transmitted herewith for filing is the Patent Application of:

Inventors: Barillaud

For: System and Method for Providing Efficient and Secure Data Exchange Using Strip Information Elements

Enclosed are:

X Supplemental Information Disclosure Statement;

X Modified form 1449 listing 5 references;

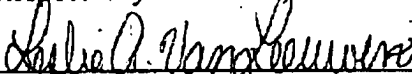
37 CFR § 1.97 is satisfied by the following:

X This Supplemental IDS is being filed pursuant to CFR § 1.197(b), therefore no fee as set forth in § 1.17(p) is believed to be due. If, however, more than three months have elapsed since the filing date and a first Office action on the merits has already been mailed, then please charge my Deposit Account No. 09-0447 the fees set forth in § 1.17(p) [\$180.00]. A duplicate copy of this sheet is enclosed.

X The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 09-0447. A duplicate copy of this sheet is enclosed.

X Any additional patent application processing fees under 37 CFR Sect. 1.17.

Respectfully submitted,

By 
Leslie A. Van Leeuwen
Attorney for Applicant
Registration No. 42,196
Telephone: (512) 301-6738
Facsimile: (512) 301-6742

Atty Ref. No. IBM-1033

COMMISSIONER FOR PATENTS
Washington, D.C. 20231

SERIAL NO. 09/918,563

IBM DOCKET NO. AUS920010562US1

DATE: November 13, 2004

RECEIVED
CENTRAL FAX CENTER

NOV 12 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:	:	Group Art Unit: 2681
Barillaud	:	Intellectual Property Law Department
Serial No.: 09/918,563	:	International Business
Filed: July 31, 2001	:	Machines Corporation
Title: <u>System and Method for Providing</u>	:	Intellectual Property Law Dept.
<u>Efficient and Secure Data Exchange</u>	:	11400 Burnet Road
<u>Using Strip Information Elements</u>	:	Austin, Texas 78758

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

The Commissioner of Patents
Washington, D.C. 20231

Sir:

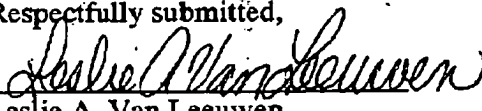
Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR § 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such. In accordance with 37 CFR § 1.97(g) the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR § 1.56 (a) exists.

This Supplemental Information Disclosure Statement is believed to be timely pursuant to 37 CFR § 1.97(b), therefore no additional fees are believed to be necessary. If, however, additional fees are deemed to be necessary, the Commissioner is hereby authorized to charge such fees to IBM's deposit account No. 09-0447.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 CFR § 1.98 (a)(1). A copy of each listed item that is not a U.S. Patent document, is supplied herewith.

Respectfully submitted,

By 
Leslie A. Van Leeuwen
Van Leeuwen & Van Leeuwen
Attorneys for Applicant
Registration No. 42,196
Telephone: (512) 301-6738
Facsimile: (512) 301-6742

Page 1 of 1

Atty Ref. No. IBM-1033

In Place of FORM PTO-1449 (Modified)

Serial No. 09/918,563**LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENT**Applicant: BarillaudFiling Date: 7/31/2001Group: 2681Atty. Docket No. AUS920010562US1**U.S. PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Name	Classification Class / Subclass	Filing Date if Appropriate
_____	AA 6,507,727	01-2003	Henrick, Robert F.	455	3.06
_____	AB 6,785,659	08-2004	Landsman et al.	705	14
_____	AC 6,785,834	08-2004	Chefalas et al.	714	4
_____	AD 6,169,498	01-2001	King et al.	340	686.1
_____	AE 6,809,631	10-2004	Doi et al.	340	5.86
_____	AF				
_____	AG				
_____	AH				
_____	AI				
_____	AJ				
_____	AK				
_____	AL				

FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Country	Classification	Translation Yes No
_____	AM				
_____	AN				
_____	AO				
_____	AP				

Examiner
Initial**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**AQ
AR
AS
AT
AU

Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.